

REQUEST TO BE TREATED AS A PROFESSIONAL CLIENT (submitted by a Retail Client)

Based on this Request to be treated as a Professional Client (the "**Request**"), a Client categorised by Trigon Dom Maklerski S.A. (the "**Brokerage House**") as a Retail Client, subject to satisfaction of certain criteria prescribed by law, may request to be re-classified into a category entailing a lower level of protection by the Brokerage House relative to the level of protection afforded to Retail Clients.

Please take your time to read this Request, fill it out and have it signed by your authorised representatives. This Request should be submitted to the Brokerage House in one of the following ways:

- 1) sent by post to the head office of Trigon Dom Maklerski S.A. at ul. Mogilska 65, 31-545 Kraków, or
- 2) delivered personally to one of the Branches of Trigon Dom Maklerski S.A.

The Brokerage House makes a decision to re-categorise a Client from a Retail Client to a Professional Client category based on information provided in this Request, as well as information held by Brokerage House concerning the Client's individual circumstances. When examining this Request, the Brokerage House considers a range of factors, including but not limited to the Client's knowledge and experience necessary to make informed investment decisions and related risk assessments, the value of the Client's assets deposited with the Brokerage House, as well as the value and frequency of the Client's transactions in financial instruments. The Brokerage House may refuse to re-classify a Client into a category entailing a lower level of protection.

FIRST NAME AND LAST NAME / ENTITY NAME	PERSONAL IDENTIFICATION NUMBER (PESEL)/ COMPANY STATISTICAL NUMBER (REGON)	TAX IDENTIFICATION NUMBER (NIP)	ADDRESS OF RESIDENCE/REGISTERED ADDRESS	

Since I have been categorised by the Brokerage House as a Retail Client, acting in accordance with the Act on Trading in Financial Instruments (the "Act"), the Regulation of the Minister of Finance on the procedures and conditions to be followed by investment firms, banks referred to in Art. 70.2 of the Act on Trading in Financial Instruments and custodian banks, and the Client Categorisation Policy of Trigon Dom Maklerski S.A., I hereby request the Brokerage House to:

re-categorise me as a Professional Client within the meaning of the Act with respect to:

\square all products or services provided by the Brokerage House under the brokerage services agreement.
\Box the following products or services provided by the Brokerage House under the brokerage services agreement:

- 1. At the same time, I represent that:
 - I have received the Client Categorisation Policy of Trigon Dom Maklerski S.A. from the Brokerage House and I have been informed of the scope of information provided and protection afforded to Professional Clients by the Brokerage House in connection with the performance of the brokerage services agreement,
 - 2) I have been informed that in the case of being re-categorised as a Professional Client, I will be afforded a lower level of protection by the Brokerage House relative to the level of protection afforded to Retail Clients,



- 3) I have been informed of the possibility of submitting multiple re-categorisation requests,
- 4) I have the experience and knowledge necessary to make informed investment decisions and properly assess the associated risks.
- 2. I further represent that I meet at least two of the following criteria:1
 - □ I carried out transactions whose value in the złoty was at least equivalent to EUR 50,000 each on the relevant market at an average frequency of 10 per guarter over the previous four guarters;
 - □ the size of my financial instruments portfolio, including any cash deposits being a part of the portfolio, was at least the złoty equivalent of EUR 500,000;
 - □ I work or have worked in the financial sector for at least one year in a position requiring professional knowledge of transactions in financial instruments or brokerage services that are to be provided to me by the investment firm under the agreement.

The EUR-denominated amounts are translated into the złoty at the euro mid rate quoted by the National Bank of Poland for the day preceding the date of this Request.

The Brokerage House may request the Client to present documents evidencing that the criteria specified in item 2 are satisfied, either before the submission of this Request or while it is being examined.

If the documentation presented is incomplete, the Brokerage House may refuse to re-classify the Client into a category entailing a lower level of protection.

I enclo	ose the follo	wing docume	ents to this F	Request to p	prove that a	at least two	of the criter	a specified	in item 2 ab	ove are sati	sfied:2
3											

date and the Client's legible signature

as at October 19th 2018

 $^{^{\}mbox{\tiny 1}}$ Please choose at least two criteria that are satisfied by you.

² This part is to be filled out by the Client if Trigon Dom Maklerski S.A. requests the Client to present documents evidencing the Client's satisfaction of the criteria set out in item 2 of this Request.

³ Please list the documents you have enclosed with your Request.